

## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

| APPLICATION NO.                              | FILING DATE    | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO.     | CONFIRMATION NO. |
|--|----------------|----------------------|-------------------------|------------------|
| 09/747,908                                   | 12/22/2000     | William Marcial      | 17837-00002             | 4443             |
| 7.   | 590 11/10/2004 |                      | EXAMINER                |                  |
| John S. Beulick, Esq. Armstrong Teasdale LLp |                |                      | MCCLELLAN, JAMES S      |                  |
| Suite 2600                                   | sdale LLp      |                      | ART UNIT PAPER NUMBER   |                  |
| One Metropolitan Sq.                         |                |                      | 3627                    |                  |
| St. Louis, MO 63102                          |                |                      | DATE MAILED: 11/10/2004 |                  |

Please find below and/or attached an Office communication concerning this application or proceeding.

| •   | Application No.   | Applicant(s)   | -/1   |  |  |  |  |
|---|---|--|---|--|--|--|--|
| Advisory Action   | 09/747,908  | MARCIAL ET AL.   | 9   |  |  |  |  |
| Advisory Action   | Examiner  | Art Unit   |   |  |  |  |  |
|   | James S McClellan   | 3627   |   |  |  |  |  |
| The MAILING DATE of this communication appears on the cover sheet with the correspondence address   |   |  |   |  |  |  |  |
| THE REPLY FILED 21 September 2004 FAILS TO PLACE Therefore, further action by the applicant is required to avainal rejection under 37 CFR 1.113 may only be either: (1) condition for allowance; (2) a timely filed Notice of Appea Examination (RCE) in compliance with 37 CFR 1.114.  | CE THIS APPLICATION IN CONvoid abandonment of this application of the control of | NDITION FOR ALLOWA<br>ation. A proper reply to<br>h places the application   | ANCE.<br>a<br>in                            |  |  |  |  |
| PERIOD FOR RE   | EPLY [check either a) or b)]  |  |   |  |  |  |  |
| a) The period for reply expires 4 months from the mailing date b) The period for reply expires on: (1) the mailing date of this A no event, however, will the statutory period for reply expire I ONLY CHECK THIS BOX WHEN THE FIRST REPLY WAS 706.07(f).  Extensions of time may be obtained under 37 CFR 1.136(a). The ee have been filed is the date for purposes of determining the period of the under 37 CFR 1.17(a) is calculated from: (1) the expiration date of 2) as set forth in (b) above, if checked. Any reply received by the Officimely filed, may reduce any earned patent term adjustment. See 37 CFR 1.17(a) is calculated from: (1) the expiration date of 2) as set forth in (b) above, if checked. Any reply received by the Officimely filed, may reduce any earned patent term adjustment. See 37 CFR 1.17(a) is calculated from: (1) the expiration date of 2) as set forth in (b) above, if checked. Any reply received by the Officimely filed, may reduce any earned patent term adjustment. See 37 CFR 1.17(a) is calculated from: (1) the expiration date of 2) as set forth in (b) above, if checked. | Advisory Action, or (2) the date set forth<br>later than SIX MONTHS from the mailing<br>SFILED WITHIN TWO MONTHS OF The<br>date on which the petition under 37 CF<br>of extension and the corresponding amount<br>the shortened statutory period for reply<br>ce later than three months after the mai  | g date of the final rejection. HE FINAL REJECTION. See R 1.136(a) and the appropria bunt of the fee. The appropria originally set in the final Offic | MPEP  te extension ate extension action; or |  |  |  |  |
| 1. A Notice of Appeal was filed on Appellant's Brief must be filed within the period set forth in 37 CFR 1.192(a), or any extension thereof (37 CFR 1.191(d)), to avoid dismissal of the appeal.  |   |  |   |  |  |  |  |
| 2. The proposed amendment(s) will not be entered be   | ecause:   | ·  |   |  |  |  |  |
| (a) they raise new issues that would require further  | er consideration and/or search (  | see NOTE below);   |   |  |  |  |  |
| (b) ☐ they raise the issue of new matter (see Note below);  |   |  |   |  |  |  |  |
| (c) they are not deemed to place the application in<br>issues for appeal; and/or  | n better form for appeal by mate  | rially reducing or simpli  | fying the                                   |  |  |  |  |
| (d) they present additional claims without canceli  | ing a corresponding number of f   | inally rejected claims.  |   |  |  |  |  |
| NOTE:   |   | •  |   |  |  |  |  |
| 3. Applicant's reply has overcome the following reject  | tion(s):  |  |   |  |  |  |  |
| <ol> <li>Newly proposed or amended claim(s) would<br/>canceling the non-allowable claim(s).</li> </ol>  | be allowable if submitted in a se   | eparate, timely filed ame  | endment                                     |  |  |  |  |
| 5. ☐ The a) ☐ affidavit, b) ☐ exhibit, or c) ☐ request for application in condition for allowance because: Se   |   | idered but does NOT pl   | ace the                                     |  |  |  |  |
| 6. The affidavit or exhibit will NOT be considered bec<br>raised by the Examiner in the final rejection.  | ause it is not directed SOLELY  | to issues which were ne  | wly   |  |  |  |  |
| 7. For purposes of Appeal, the proposed amendment explanation of how the new or amended claims we   |   |  | an  |  |  |  |  |
| The status of the claim(s) is (or will be) as follows:  |   |  |   |  |  |  |  |
| Claim(s) allowed: Claim(s) objected to:   |   |  |   |  |  |  |  |
| · · · · · · · · · · · · · · · · · · ·   |   |  |   |  |  |  |  |
| Claim(s) rejected:  Claim(s) withdrawn from consideration:  |   |  |   |  |  |  |  |
| 8. The drawing correction filed on is a) app  | royad or b) disapproyed by  | ho Evaminor  |   |  |  |  |  |
|   |   |  |   |  |  |  |  |
| 9. Note the attached Information Disclosure Stateme   | nt(s)( P10-1449) Paper No(s)  | <u></u> '  | •   |  |  |  |  |
| 10. Other:  |   |  |   |  |  |  |  |
|   |   | James S McClellan<br>Primary Examiner<br>Art Unit: 3627  | 11/8/04                                     |  |  |  |  |

Continuation of 5. does NOT place the application in condition for allowance because: Applicant's arguments are not fully persuasive. Applicant's claims are drawn to a "system", not a method. Applicant's arguments are based on the difference between reconciling accounts between a parent and a subsidiary entity of the parent. As noted by Applicant, the prior art relied (Hollander) upon by the Examiner is not directed to a parent/subsidiary entity relationship. However, Hollander's system meets all of the positive limitations of the claims. Hollander's system reconciles accounts as required by the claims.

2